

**Solution to: problem set 4, question 1**

In a discretized Klein-Gordon theory, we have a quantum-mechanical system with generalized coordinates  $\phi(t, n_1, n_2, n_3)$  and

$$L = \sum_{\mathbf{n}} a^3 \left[ \frac{1}{2} \dot{\phi}^2 - \frac{1}{2} \sum_{j=1,2,3} (D_j \phi)^2 - \frac{m^2}{2} \phi^2 \right]. \quad (1)$$

Each of  $n_1$ ,  $n_2$ , and  $n_3$  is an integer, and we use periodic boundary conditions, with  $N$  points in each coordinate direction. To exhibit the connection with a continuum field theory we also write the field as  $\phi(t, \mathbf{x})$ , which means the same as  $\phi(t, n_1, n_2, n_3)$  with  $\mathbf{x}_{\mathbf{n}} = a(n_1, n_2, n_3)$ . The finite-difference versions of derivatives are defined by

$$D_1 \phi = \frac{\phi(t, n_1 + 1, n_2, n_3) - \phi(t, n_1, n_2, n_3)}{a}, \quad (2)$$

etc.

The equations of motion are the usual Euler Lagrange equations

$$\begin{aligned} 0 &= \frac{d}{dt} \frac{\partial L}{\partial \dot{\phi}(t, n_1, n_2, n_3)} - \frac{\partial L}{\partial \phi(t, n_1, n_2, n_3)} \\ &= a^3 \frac{\partial^2 \phi(t, n_1, n_2, n_3)}{\partial t^2} - \frac{\partial L}{\partial \phi(t, n_1, n_2, n_3)}. \end{aligned} \quad (3)$$

Differentiating the mass term in  $L$  gives  $-m^2 \phi$ , but obtaining the derivative of the derivative term needs some care. Consider the terms involving  $(D_1 \phi)^2$ . We need keep only the terms which involve  $\phi(t, n_1, n_2, n_3)$ ; these arise from two terms in the Lagrangian:

$$\begin{aligned} &\frac{a^3}{2} \left[ (D_1 \phi(t, n_1, n_2, n_3))^2 + (D_1 \phi(t, n_1 - 1, n_2, n_3))^2 \right] \\ &= \frac{a^3}{2} \left[ \left( \frac{\phi(t, n_1 + 1, n_2, n_3) - \phi(t, n_1, n_2, n_3)}{a} \right)^2 \right. \\ &\quad \left. + \left( \frac{\phi(t, n_1, n_2, n_3) - \phi(t, n_1 - 1, n_2, n_3)}{a} \right)^2 \right]. \end{aligned} \quad (4)$$

Differentiating with respect to  $\phi(t, n_1, n_2, n_3)$  gives

$$-a^3 \frac{\phi(t, n_1 + 1, n_2, n_3) - 2\phi(t, n_1, n_2, n_3) + \phi(t, n_1 - 1, n_2, n_3)}{a^2}, \quad (5)$$

an object we call  $-D_1^2 \phi$ , with  $D_1^2$  providing a discrete approximation to the second derivative  $\partial^2 / \partial x_1^2$ . Watch the signs carefully! (To see that the name and normalization are appropriate, apply  $D_1^2$  to the function  $f(n_1) = a^2 n_1^2 = x_1^2$ .)

Then the equation of motion is

$$0 = \frac{\partial^2 \phi(t, n_1, n_2, n_3)}{\partial t^2} - D_1^2 \phi - D_2^2 \phi - D_3^2 \phi + m^2 \phi. \quad (6)$$

Any function can be written as a Fourier transform: a continuous Fourier transform on  $t$ , and discrete Fourier transforms on the spatial points. A single Fourier mode has the form  $f(t, \mathbf{n}) = e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}}$ . The spatial derivatives have the form

$$\begin{aligned} D_1^2 f(t, \mathbf{n}) &= \frac{f(t, n_1 + 1, n_2, n_3) - 2f(t, n_1, n_2, n_3) + f(t, n_1 - 1, n_2, n_3)}{a^2} \\ &= e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} \frac{e^{ik_1 a} - 2 + e^{-ik_1 a}}{a^2} \\ &= -e^{-i\omega t + i\mathbf{k} \cdot \mathbf{x}} \frac{4}{a^2} \sin^2 \frac{k_1 a}{2}. \end{aligned} \quad (7)$$

Substituting into the equation of motion (6) and projecting onto each Fourier mode separately gives

$$\omega = \sqrt{m^2 + \sum_{j=1,2,3} \frac{4}{a^2} \sin^2 \frac{k_j a}{2}}. \quad (8)$$

There are also negative-frequency solutions, which we allow for by a separate term in the resulting expression for  $\phi$ :

$$\phi = \sum_{\alpha} \left( \hat{a}_{\alpha} e^{-i\omega_{\alpha} t + i\mathbf{k}_{\alpha} \cdot \mathbf{x}} + \hat{a}_{\alpha}^{\dagger} e^{i\omega_{\alpha} t - i\mathbf{k}_{\alpha} \cdot \mathbf{x}} \right). \quad (9)$$

The coefficients  $\hat{a}_{\alpha}^{\dagger}$  of the negative-frequency solutions are the hermitian conjugates of those of the positive-frequency solutions, in order that  $\phi$  is hermitian.

Finally we impose periodic boundary conditions, so that  $\phi(t, n_1, n_2, n_3) = \phi(t, n_1 + N, n_2, n_3)$ , and similarly for the other two coordinate directions. This implies that

$$k_j a N = 2\pi \times \text{integer}, \quad (10)$$

so that the allowed modes can be written as

$$\mathbf{k} = \frac{2\pi}{aN} (j_1, j_2, j_3), \quad (11)$$

where the  $j_1$ ,  $j_2$ , and  $j_3$  are integers.